

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Maxwell Industries Limited
2	BSE Scrip Code: 532613 NSE Scrip Code : MAXWELL Class of Security: Equity
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) a. If under 31(1)(b) then indicate the report for Quarter ending b. If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	Yes*	No*
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?	Yes	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL : 31/12/2015



Maxwell Industries Limited

Table 1 - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholder shares	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No. of Outstanding Shares Underlying convertible securities (including share)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								Class eg: X	Class eg: Y	Total				(a)	(b)	(a)	(b)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
(A)	Promoter & Promoter Group	14	43097868	0	0	43097868	65.2235	0	0	0	0.0000	0	65.2235	14688840	34.0825	0	0.0000	43097868
(B)	Public	15926	22979347	0	0	22979347	34.7765	0	0	0	0.0000	0	34.7765	0	0.0000	1326531	5.7727	21180096
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	15940	66077215	0	0	66077215	100.0000	0	0	0	0.0000	0	100.0000	14688840	22.2298	1326531	2.0075	64277964

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL : 31/12/2015



Table II (I)(a)- Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities convertible into share capital)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form																
									No of Voting Rights	Total as a % of (A+B+C)	Class eg: X			Class eg: Y	Total			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)												
1	Indian		14	43097868	0	0	0	65.2235	0	0	0	0	65.2235	14688840	34.0825	0	43097868																
																		Individuals / Hindu Undivided Family															
																		Sunil Jaykumar Pathare	ABBP0138L	16107425	0	0	0	24.3767	0	24.3767	0	0.0000	0	0.0000	0	16107425	
																		Kapil J Pathare	AAWP8790N	14184465	0	0	0	21.4665	0	21.4665	0	0.0000	0	0.0000	0	14184465	
																		Jaykumar Khanderao Pathare	AAASP1092P	5424125	0	0	0	8.2088	0	8.2088	0	0.0000	0	0.0000	0	5424125	
																		Lalita Jaykumar Pathare	AAHP5820C	5002812	0	0	0	7.5712	0	7.5712	0	0.0000	0	0.0000	0	5002812	
																		Jaykumar K Pathare	AAHJ9903N	1262166	0	0	0	1.9101	0	1.9101	0	0.0000	0	0.0000	0	1262166	
																		Heena S Pathare	ALSP2887R	612500	0	0	0	0.9269	0	0.9269	0	0.0000	0	0.0000	0	612500	
																		Ashwini Kapil Pathare	ADUPR6755R	504375	0	0	0	0.7633	0	0.7633	0	0.0000	0	0.0000	0	504375	
																		Central Government / State Government(s)		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0	
																		Financial Institutions / Banks		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0	
																		Any Other (Specify)		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0	
																		Sub Total (A)(1)		0	14	43097868	0	0	65.2235	0	65.2235	0	0.0000	0	0.0000	0	43097868
																		2	Foreign														
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0																
(b)	Government		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0																
(c)	Institutions		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0																
(d)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0																
(e)	Any Other (Specify)		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0																
	Sub Total (A)(2)		0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0																
	Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)		14	43097868	0	0	65.2235	0	65.2235	0	0.0000	0	0.0000	0	34.0825	0	43097868																

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL :31/12/2015



Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Outstanding Shares Underlying	Shareholding as a % assuming full conversion of convertible securities (including diluted share)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	As a % of total Shares held(b)	Number of dematerialised shares held in
									Class eg: X	Class eg: Y	Total						
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)		
1 Institutions																	
(a)	Mutual Fund		1	875	0	0	875	0.0013	0	0	0	0	0.0013	0	0	0	0.0000
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
(f)	Financial Institutions / Banks		2	150295	0	0	150295	0.2275	0	0	0	0	0.2275	0	0	0	0.0000
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
(i)	Any Other (Specify)		2	441734	0	0	441734	0.6685	0	0	0	0	0.6685	0	0	0	0.0000
	Foreign Institutional Investors		2	441734	0	0	441734	0.6685	0	0	0	0	0.6685	0	0	0	0.0000
	Sub Total (B)(1)		5	592904	0	0	592904	0.8973	0	0	0	0	0.8973	0	0	0	0.0000
2 Government(s)/ President of India																	
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
3 Non-Institutions																	
(a)	Individuals		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
1. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.																	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		14609	11296843	0	0	11296843	17.0964	0	0	0	0	17.0964	0	0	0	1.0855
(b)	NBFC registered with RBI		4	570507	0	0	570507	0.8634	0	0	0	0	0.8634	0	0	0	0.0000
(c)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
(d)	Overseas Depositor/Shareholding (DRs)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0.0000
(e)	Any Other (Specify)		1308	10519093	0	0	10519093	15.5194	0	0	0	0	15.5194	0	0	0	0.0000
	Trusts		2	2800	0	0	2800	0.0042	0	0	0	0	0.0042	0	0	0	0.0000
	Hindu Undivided Family		524	662305	0	0	662305	1.0023	0	0	0	0	1.0023	0	0	0	0.0000
	Non Resident Indians (Mon Repat)		36	2084474	0	0	2084474	3.1546	0	0	0	0	3.1546	0	0	0	0.0000
	Amal N Parish		1838950	1838950	0	0	1838950	2.7830	0	0	0	0	2.7830	0	0	0	0.0000
	Non Resident Indians (Repatriation)		112	259459	0	0	259459	0.3927	0	0	0	0	0.3927	0	0	0	0.0000
	Clearing Member		209	816714	0	0	816714	1.2360	0	0	0	0	1.2360	0	0	0	0.0000
	Bodies Corporate		425	6693341	0	0	6693341	10.1296	0	0	0	0	10.1296	0	0	0	0.0000
	Bengal Finance & Investment Pvt. Ltd	AABC87225Q		2312505	0	0	2312505	3.4997	0	0	0	0	3.4997	0	0	0	0.0000
	Param Capital Research Pvt. Ltd.	AAACPS047P		1000000	0	0	1000000	1.5134	0	0	0	0	1.5134	0	0	0	0.0000
	Sub Total (B)(3)		15921	22386443	0	0	22386443	33.8792	0	0	0	0	33.8792	0	0	0	0.0000
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		15926	22979347	0	0	22979347	34.7765	0	0	0	0	34.7765	0	0	0	0.0000



Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL :31/12/2015



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % of full convertible securities (as a percentage of)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of Shares held in dematerialised form
									No of Voting Rights	Class eg: X	Class eg: Y			Total as a % of (A+B+C)	No. (a) in shares		
1	Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)	(ix)	(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)		
2	Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0		
			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0		

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

GENERATED ON :02/01/2016

NSDL : 31/12/2015

CDSL : 31/12/2015

